

17638 U.S. PTO  
08/07/03

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

19730-0004

Named Inventor(s)

L et al.

Title

Structur and Manufacturing M th d for Single-  
Wav length and Multi-Wav length Distributed  
F dback Lasers

Express Mail Label No.

EV330773015US

17613 U.S. PTO  
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## APPLICATION ELEMENTS

ADDRESS TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification, Claims,  
and Abstract Total Pages 34
4. ☒ Drawings Total Sheets 11
5. Oath or Declaration Total Pages 3
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 19  
completed)
    - (i) ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
6. ☐ Incorporation by Reference  
(usable if Box 5b is checked)  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box  
5b, is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated  
by reference therein.
7. ☐ Nonpublication Request Under 35 U.S.C.  
122(b)(2)(B)(i).  
☐ Form PTO/SB/35
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

10. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above  
copies
11. ☒ Assignment:
  - a. ☒ Assignment Papers (cover sheet &  
document(s))
  - b. ☐ Assignment is of record in parent  
application No.
12. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)  
☐ Power of Attorney by assignee
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement (IDS) PTO-  
1449  
☐ Copies of IDS Citations (\_\_\_ references)
15. ☐ Preliminary Amendment
16. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
17. ☐ Certified Copy of Priority Document(s)
18. ☐ Other:

19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information and in a preliminary  
amendment, or in an Application Date Sheet under 37 CFR 1.76:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:  
Prior application information: Examiner: Group Art Unit:  
Recite complete dependency back to first parent application:

20. CORRESPONDENCE ADDRESS:  
**Malvern U. Griffin III**  
SUTHERLAND ASBILL & BRENNAN LLP  
999 Peachtree Street, NE  
Atlanta, Georgia 30309-3996

By: Malvern U. Griffin  
Date: **August 7, 2003**  
Telephone: 404-853-8233  
Facsimile: 404-853-8806

Reg. No. 38,899

I hereby certify that this correspondence is being deposited with the United States Postal Service in an Express Mail envelope  
(EV330773015US) addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,  
this 7<sup>th</sup> day of August, 2003.

Rebecca Smith  
Rebecca Smith

**\*29052\***

PATENT TRADEMARK OFFICE

**FEE TRANSMITTAL**

Attorney Docket No. 19730-0004

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): Lee et al.

Filing Date: August 7, 2003

Serial No.: To Be Assigned

Title: Structure and Manufacturing Method for Single-Wavelength and  
Multi-Wavelength Distributed Feedback Lasers

The filing fee is calculated as shown below:

**1. FILING FEE:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$375		\$750	
<input type="checkbox"/> DESIGN FILING FEE	\$165		\$330	
<input type="checkbox"/> PLANT FILING FEE	\$260		\$520	
<input type="checkbox"/> REISSUE FILING FEE	\$375		\$750	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
SUBTOTAL (1)		\$375		

**2. CLAIMS:**

FOR:	SMALL ENTITY			LARGE ENTITY		
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	46 - 20 =	26	x 9 =	\$234	x 18 =	
INDEP. CLAIMS	4 - 3 =	1	x 42 =	\$42	x 84 =	
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =	\$140	+280 =	
SUBTOTAL ( )				\$416		

**3. ADDITIONAL FEES:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input checked="" type="checkbox"/> OTHER - Assignment Recordation		\$40		
SUBTOTAL (3)		\$40		

**TOTAL FILING FEES: \$831**

A check is enclosed for the total amount: \$831

☒ Charge any additional fees required to Deposit Account 19-5029.

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Date: August 7, 2003